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*Research Article: New Research | History, Teaching, and Public Awareness*

## **Problems and Progress regarding Sex Bias and Omission in Neuroscience Research**

### **Sex bias and omission in neuroscience research**

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46 **Problems and progress regarding sex bias and omission in neuroscience research**

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48 Running title: Sex bias and omission in neuroscience research

49

50 **Abstract**

51 Neuroscience research has historically ignored female animals. This neglect comes in two  
52 general forms. The first is sex bias, defined as favoring one sex over another; in this case, male  
53 over female. The second is sex omission, which is the lack of reporting sex. The recognition of  
54 this phenomenon has generated fierce debate across the sciences. Here we test whether sex bias  
55 and omission are still present in the neuroscience literature, whether studies employing both  
56 males and females neglect sex as an experimental variable, and whether sex bias and omission  
57 differs between animal models and journals. To accomplish this we analyzed the largest ever  
58 number of neuroscience articles for sex bias and omission: 6,636 articles using mice or rats in 6  
59 journals published 2010-2014. Sex omission is declining, as increasing numbers of articles report  
60 sex. Sex bias remains present and also intensifies, as increasing numbers of articles report the  
61 sole use of males. Articles using both males and females are also increasing, but few report  
62 assessing sex as an experimental variable. Sex bias and omission varies substantially by animal  
63 model and journal. These findings are essential for understanding the complex status of sex bias  
64 and omission in neuroscience research and may inform effective decisions regarding policy  
65 action.

66 **Significance Statement**

67 Neuroscience research has historically favored the use of male over female animals, or ignored  
68 animal sex. Recognition of this sex bias and omission has spurred fierce debate and study,  
69 including new regulatory policies and scientific findings. Here we further probe this  
70 phenomenon by conducting the largest ever analysis of neuroscience research articles for sex  
71 bias and omission. We show that sex bias is still present and intensifying, and that sex omission  
72 is declining. The extent of sex bias and omission varies widely by animal model and journal.  
73 These results produce key implications for research conduct, regulatory policies, and scientific  
74 culture by revealing the still present but complex nature of sex bias and omission.

75 **Keywords**

76 Neuroscience, sex bias, sex omission, animal models, journals

77

**78 Introduction**

79 Neuroscience research has historically demonstrated sex bias, in this case favoring the use of  
80 male over female research animals, and sex omission, which is the lack of reporting research  
81 animal sex (Berkley, 1992; Mogil and Chanda, 2005; Beery and Zucker, 2011; Shansky and  
82 Woolley, 2016). While neuroscience is not the only biomedical discipline exhibiting sex bias,  
83 Beery and Zucker (2011) demonstrated that neuroscience, pharmacology, physiology, and  
84 endocrinology exhibited the largest sex biases in research animal use out of 10 analyzed  
85 disciplines. Collectively, this phenomenon of discipline-specific sex bias has generated fierce  
86 debate, resulting in awareness campaigns, studies, regulatory policies, and position  
87 commentaries (Becker et al., 2005; Clayton and Collins, 2014; Fields, 2014; Johnson et al., 2014;  
88 McCullough et al., 2014; Ruigrok et al., 2014; Yoon et al., 2014; Cahill and Aswad, 2015; Klein  
89 et al., 2015; McCarthy, 2015; Park et al., 2015; Richardson et al., 2015; Becker et al., 2016; Eliot  
90 and Richardson, 2016; Guizzetti et al., 2016; Maney, 2016; Mogil, 2016; Panzica and Melcangi,  
91 2016; Tannenbaum et al., 2016; Zakiniaez et al., 2016; Brooks and Clayton, 2017; Duchesne et  
92 al., 2017; Joel and McCarthy, 2017; Karp et al., 2017; McEwen and Milner, 2017; Miller et al.,  
93 2017). Many authors argue that it is vital to document experimental animal sex, and to  
94 thoughtfully select and justify the sex of experimental animals. Important for this discussion, and  
95 especially for the implementation and evaluation of regulatory policies, is the evaluation of sex  
96 bias and omission in the neuroscience research literature. Here we provide these data by testing  
97 the hypotheses that sex bias and omission still persists in the neuroscience literature, that studies  
98 employing both males and females neglect sex as an experimental variable, and that sex bias and  
99 omission varies by rodent species and journal origin. To accomplish this, our team of 11 trained  
100 curators assessed all research articles using rats and/or mice published from 2010-2014 in the  
101 following journals: Journal of Neuroscience, Journal of Neurophysiology, Nature Neuroscience,  
102 Neuron, Nature and Science. These journals were chosen given their prominence in the  
103 neuroscience field, and also to align with previous studies (Beery and Zucker, 2011; Shansky and  
104 Woolley, 2016). A comprehensive approach to article selection was undertaken to decrease  
105 sampling bias within the analyzed journals, and research articles were analyzed given that this is  
106 the final common output of academic neuroscience research.

107 **Materials and Methods**108 Inclusion Criteria and Coding of Articles

109 Articles were analyzed from 2010-2014 from the following journals: Journal of Neuroscience,  
110 Journal of Neurophysiology, Nature Neuroscience, Neuron, Nature and Science. A team of 11  
111 trained curators (8 females, 3 males; Assessing Rodent Sex in Neuroscience Literature team,  
112 ARSiNL team) examined all articles published per year within the targeted journals. Trained  
113 curators were used because the divergent and extensive vocabulary used to describe animal sex  
114 and its treatment as an experimental variable make automated text mining approaches  
115 challenging. Articles were first determined to be primary research articles by the curators.  
116 Following previously published studies (Berkley, 1992; Sechzer et al., 1994; Mogil and Chanda,  
117 2005; Beery and Zucker, 2011; Yoon et al., 2014; Shansky and Woolley, 2016), reviews,  
118 editorials, and similar non-primary research articles were excluded from analysis. Articles were  
119 then analyzed for neuroscience-relevance. Articles from the Journal of Neuroscience, Journal of  
120 Neurophysiology, Nature Neuroscience and Neuron were automatically accepted as  
121 neuroscience-relevant. A broad inclusion criterion was employed for articles from Nature and  
122 Science. Articles in these journals were included for analysis if the article topic encompassed any  
123 aspect of the central or peripheral nervous system, ranging from the molecular to behavioral  
124 level of analysis. In all journals, articles using fetal animals and primary neuron cultures were  
125 included in the overall analysis as in a previous study (Taylor et al., 2011), given that cells  
126 express chromosomal sex (XX or XY) and that sex differences have been detected even at the  
127 embryonic stage and in primary neuron culture. These inclusion criteria identified 13,857  
128 primary research neuroscience articles. Articles were then coded for species. Species categories  
129 were: mouse, rat, and other species. Articles using other species were excluded from further  
130 analysis, resulting in a pool of 6,636 neuroscience articles that employed rats and/or mice. 2,611  
131 articles employed rats, and 4,221 articles employed mice. Articles using a rat and/or mouse and  
132 another species were included in analysis, with the non-rodent portion of the article excluded  
133 from analysis. Articles using both mice and rats were included in analysis (196 articles). Articles  
134 using both mice and rats were included in both the mice and rat categories, but only counted  
135 once in analyses that combined mice and rat datasets. The reason for focusing the study on the  
136 analysis of articles employing mice and/or rats is further explained in the discussion.



137 Articles were then analyzed for research animal sex. Sex categories were: male, female, no sex  
138 reported, and male and female. Articles containing both male and females were further  
139 subdivided into those wherein biological sex was not considered as an experimental variable and  
140 those wherein biological sex was considered an experimental variable. Articles were considered  
141 to have addressed sex as a biological variable if any formal statistical comparison or assertion of  
142 such a comparison of males and females was performed, including if the data or analysis was not  
143 shown, and including whether sex differences were detected or not. Very few articles reported  
144 data disaggregated by sex but did not perform or assert to have performed a statistical  
145 comparison. These articles were coded as not having addressed sex as an experimental variable  
146 since there was no comparison. Intra- and inter-curator error rates were assessed, with the rates  
147 being 0% and 7%, respectively. Experimental power was not assessed. When distinct  
148 experiments within an article employed different sexes, articles were considered male/female  
149 with biological sex not considered an experimental variable, following a previous study (Beery  
150 and Zucker, 2011).

#### 151 Statistics

152 Experiments were analyzed via linear regressions, and ANCOVAs (Prism version 6.07,  
153 GraphPad Software, La Jolla, CA). P values < 0.05 were considered *a priori* as significant. Data  
154 are presented as percentages, or absolute proportions. Further statistical information is presented  
155 in Table 1.

#### 156 **Results**

157 Our research article inclusion criteria resulted in an initial pool of 13,857 neuroscience research  
158 articles. Of these articles, 6,636 used rats or mice, and were further analyzed for sex bias and  
159 omission (Figure 1A). The percentage of articles using rats or mice remained fairly constant  
160 across years, with a calculated linear regression finding no correlation between the percent of  
161 articles using mice and rats and year (Figure 1B; slope  $-0.61$ ,  $r^2 = 0.08$ ,  $P > 0.05$ ). From these  
162 findings we concluded that articles using mice and rats are a significant and stable proportion of  
163 the neuroscience literature.

164 *Sex omission is decreasing but sex bias remains present*

165 Articles using rats and mice were then analyzed to determine how animal sex was reported  
166 (Figure 2A). Articles were categorized as either not reporting sex, or reporting both males and  
167 females, only males, and only females. The percentage of articles not reporting sex decreased  
168 from 47% in 2010 to 19% in 2014 (slope  $-7.24$ ,  $r^2 = 0.86$ ,  $P < 0.03$ ). The percentage of articles  
169 reporting both male and female animals increased from 17% in 2010 to 38% in 2013, and  
170 plateaued at 35% in 2014 (slope  $4.78$ ,  $r^2 = 0.86$ ,  $P < 0.03$ ). Articles reporting only males  
171 increased from 31% in 2010 to 40% in 2014 (slope  $2.19$ ,  $r^2 = 0.89$ ,  $P < 0.02$ ). The percentage of  
172 articles reporting only female animals remained stable and low throughout the assessed period,  
173 ranging from 5% in 2010 to 6% in 2014 (slope  $0.27$ ,  $r^2 = 0.28$ ,  $P > 0.05$ ). Overall, these results  
174 indicate that sex omission is decreasing and that sex bias remains present over the assessed  
175 period, with articles reporting the sole use of males not only comprising the largest proportion of  
176 published articles, but also continuing to increase across years.

177 *Sex bias and omission vary considerably by animal model*

178 We next tested the hypothesis that sex bias and omission vary by animal model. Many more  
179 articles used mice (4,221) than rats (2,611), which could potentially influence a dataset  
180 incorporating both species. In both mice (Figure 2B) and rats (Figure 2C), the percentage of  
181 articles not reporting sex decreased between 2010 and 2014 (mice: slope  $-7.65$ ,  $r^2 = 0.91$ ,  $P <$   
182  $0.02$ ; rats: slope  $-7.17$ ,  $r^2 = 0.79$ ,  $P < 0.05$ ). In mice, articles reporting both males and females  
183 increased over time, and comprised the largest proportion of published articles by 2012, and  
184 reached 44% by 2014 (slope  $5.42$ ,  $r^2 = 0.91$ ,  $P < 0.02$ ). Articles reporting only males also  
185 increased, but at a lesser extent, from 22% in 2010 to 29% in 2014 (slope  $1.95$ ,  $r^2 = 0.95$ ,  $P <$   
186  $0.006$ ). The percentage of articles reporting only females remained low and stable, ranging from  
187 3% in 2010 to 4% in 2014 (slope  $0.27$ ,  $r^2 = 0.28$ ,  $P > 0.05$ ). In contrast to mice, for articles using  
188 rats the percentage reporting males dominated the distribution, ranging from 42% in 2010 to  
189 58% in 2014 (slope  $3.72$ ,  $r^2 = 0.88$ ,  $P < 0.02$ ), and showed a substantially different Y-intercept  
190 compared to mice (rats:  $-7422$ ; mice:  $-3899$ ) There was also an absolute increase in the  
191 percentage of articles reporting both males and females from 8% in 2010 to 20% in 2014, but  
192 this did not reach significance because of the relatively stable percentages from 2012-2014 (21%,  
193 24%, and 20%, respectively) (slope  $3.10$ ,  $r^2 = 0.65$ ,  $P > 0.05$ ). Similar to mice, the percentage of  
194 articles reporting only female rats remained low, ranging from 7% in 2010 to 9% in 2014 (rats:

195 slope 0.35,  $r^2 = 0.66$ ,  $P > 0.05$ ). These findings demonstrate that articles using different species  
196 show important distinctions that diverge across time. Though both species show decreases in sex  
197 omission, by 2014 sex is less likely to be reported in mice studies compared to rat studies.  
198 Regarding sex bias, by 2014 the majority of rat studies report the use of only males. A  
199 substantial and increasing percentage of mice studies also report the use of only males, however,  
200 a larger proportion of mice studies report the use of both males and females.

201 Most research articles incorporating both males and females do not assess sex as an  
202 experimental variable

203 Though it is promising that more articles are reporting the use of both males and females, these  
204 articles do not necessarily consider sex as an experimental variable. This phenomenon was first  
205 documented by Beery and Zucker (Beery and Zucker, 2011), who found that only ~20% of  
206 neuroscience studies that used both sexes actually analyzed data by sex. We thus tested whether  
207 articles using both males and females reported any statistical test or statement indicating that data  
208 from males and females were compared, whether a sex difference or similarity was detected. Our  
209 analysis found that the vast majority of articles did not report considering sex as an experimental  
210 variable, even though both males and females were included in the study (Figure 3). Depending  
211 on the year, only 12-25% of assessed studies included any indicator that data from males and  
212 females were compared. The overall percentage of articles incorporating sex as an experimental  
213 variable remained relatively stable from 2011-2014, after a substantial decrease between the  
214 years 2010 (25%) and 2011 (14%) (Figure 3; slope -2.055,  $r^2 = 0.38$ ,  $P > 0.05$ ). These data show  
215 that even though there is increased documentation of the use of males and females, most studies  
216 still do not report analyzing sex as an experimental variable.

217 Sex bias and omission varies between journals

218 An important facet of the analysis presented thus far is that it pooled articles across six different  
219 journals. This provides the advantage of a broad sampling of the neuroscience literature. One  
220 limitation is that scientific journals may have differing policies and/or customs regarding  
221 methods documentation, including the requirement of reporting sex. This may create differences  
222 between journals in the percentage of articles reporting varying categories of animal sex. To  
223 address this question, articles were analyzed by their journal of origin, including the Journal of

224 Neurophysiology (J Neurophys; 848 articles), the Journal of Neuroscience (J Neurosci; 4,105  
225 articles), Nature (243 articles), Nature Neuroscience (Nature Neurosci, 582 articles), Neuron  
226 (649 articles), and Science (209 articles) (Figure 4). Journals differed in the percentages of  
227 articles not reporting sex between 2010-2014 (Figure 5A;  $F_{(5,18)}=5.42$ ,  $P<0.004$ ). In five of the  
228 six journals, the percentage of articles not reporting sex decreased between 2010 and 2014,  
229 although there were varying degrees of change in magnitude between journals (Figure 5A; J  
230 Neurophys: slope -5.92,  $r^2 = 0.87$ ,  $P < 0.02$ ; J Neurosci: slope -8.10,  $r^2 = 0.75$ ,  $P = 0.059$ ; Nature:  
231 slope -13.13,  $r^2 = 0.96$ ,  $P < 0.004$ ; Nature Neurosci: slope -11.18,  $r^2 = 0.81$ ,  $P < 0.04$ ; Neuron:  
232 slope -3.34,  $r^2 = 0.94$ ,  $P < 0.006$ ). Of this group, Neuron showed the least overall change in  
233 magnitude, beginning with 69% of articles not reporting sex in 2010, decreasing to only 55% in  
234 2014. In contrast, one journal, Science, showed a surprising increase in the percentage of articles  
235 with undocumented sex in 2014 compared to earlier years, increasing from 51% in 2010 to 58%  
236 in 2014 (Figure 5A; slope 1.86,  $r^2 = 0.09$ ,  $P > 0.05$ ).

237 Journals also differed in the percentages of articles reporting male and female animals (Figure  
238 5B;  $F_{(5,18)}=3.78$ ,  $P<0.02$ ), with most journals showing varying patterns of increased percentages  
239 between 2010-2014 (J Neurophys: slope 2.88,  $r^2 = 0.78$ ,  $P < 0.05$ ; J Neurosci: slope 5.57,  $r^2 =$   
240 0.72,  $P = 0.07$ ; Nature: slope 12.26,  $r^2 = 0.82$ ,  $P < 0.04$ ; Nature Neuroscience: slope 4.13,  $r^2 =$   
241 0.66,  $P = 0.09$ ; Neuron: slope 2.43,  $r^2 = 0.70$ ,  $P = 0.07$ ; Science: slope 0.20,  $r^2 = 0.00$ ,  $P > 0.05$ ).  
242 Journals did not differ in the overall change/slope of the percentage of articles reporting only  
243 males (Figure 5C;  $F_{(5,18)}=1.86$ ,  $P>0.05$ ). However, elevations between journals significantly  
244 differed ( $F_{(5,23)}=3.09$ ,  $P<0.03$ ), and select journals showed changes across time in the percentage  
245 of articles reporting only males (J Neurophys: slope 3.18,  $r^2 = 0.72$ ,  $P = 0.07$ ; J Neurosci: slope  
246 2.03,  $r^2 = 0.83$ ,  $P < .04$ ; Nature: slope 1.26,  $r^2 = 0.11$ ,  $P > 0.05$ ; Nature Neuroscience: slope 6.34,  
247  $r^2 = 0.74$ ,  $P = 0.06$ ; Neuron: slope 0.99,  $r^2 = 0.33$ ,  $P > 0.05$ ; Science: slope -1.37,  $r^2 = 0.06$ ,  $P >$   
248 0.05). Similarly, journals also did not differ in the overall change in the percentage of articles  
249 reporting only females (Figure 5D;  $F_{(5,18)}=0.36$ ,  $P>0.05$ ), but likewise showed a significant  
250 difference in elevation ( $F_{(5,23)}=5.30$ ,  $P<0.003$ ). No individual journals showed changes across  
251 time in the percentage of articles reporting only females (J Neurophys: slope -0.15,  $r^2 = 0.33$ ,  $P >$   
252 0.05; J Neurosci: slope -0.39,  $r^2 = 0.09$ ,  $P > 0.05$ ; Nature: slope -0.39,  $r^2 = 0.09$ ,  $P > 0.05$ ; Nature  
253 Neuroscience: slope 0.62,  $r^2 = 0.28$ ,  $P > 0.05$ ; Neuron: slope -0.08,  $r^2 = 0.00$ ,  $P > 0.05$ ; Science:  
254 slope -0.69,  $r^2 = 0.10$ ,  $P > 0.05$ ).

255 **Discussion**

256 The key finding of this study is that substantial progress has been made in the reduction of sex  
257 omission, but that male sex bias remains a persistent and perhaps even intensifying phenomenon  
258 in the neuroscience literature. Complementing this general finding, we find that sex omission and  
259 bias vary considerably between journal and animal model. This indicates that though it is  
260 accurate to state that sex omission and bias is a generalizable phenomenon across neuroscience  
261 research, the extent and nature of omission and bias should be carefully documented and defined  
262 to achieve maximum practical utility. For example, levels of sex bias and omission differ  
263 markedly between studies employing rats than those employing mice. This finding explains a  
264 discrepancy between a prior study that detected weaker sex bias and omission but limited its  
265 automated text mining analysis to biomedical studies that employed mice (Florez-Vargas et al.,  
266 2016), compared to studies that employed trained curators but analyzed biomedical and  
267 neuroscience studies that employed multiple model animals (Berkley, 1992; Sechzer et al., 1994;  
268 Mogil and Chanda, 2005; Beery and Zucker, 2011; Yoon et al., 2014; Shansky and Woolley,  
269 2016).

270 This study detected a distinct shift in sex omission and bias across time. During the years 2010-  
271 2011, we detected similar levels of sex omission and male sex bias in neuroscience articles as  
272 reported by previous studies analyzing smaller data sets, providing important validation (Beery  
273 and Zucker, 2011; Shansky and Woolley, 2016). Sex omission and sex bias then markedly  
274 change during 2011-14. During this time period, sex omission dramatically decreased, indicating  
275 significant progress in documenting research animal sex. However, as of 2014 over 20% of all  
276 research articles still failed to report animal sex, which we consider an unacceptably high  
277 number for an essential experimental component. From a broader perspective, if such a basic  
278 detail as animal sex is omitted, other methods that may or may not seem obscure but are  
279 necessary for successful replication may also not be included in the methods section of  
280 manuscripts (Thigpen et al., 2013; Freedman et al., 2017).

281 Regarding male sex bias, reports of the sole use of males increased, most predominantly in rats,  
282 but also in mice. Furthermore, even when studies used both males and females, few reported  
283 incorporating sex as an experimental variable. Collectively our data indicates that sex bias  
284 remained present and perhaps even intensified during 2010-2014, despite awareness campaigns

285 and other efforts. Remarkably, these measured decreases in sex omission and increases in male  
286 sex bias occurred *before* the implementation of the National Institute of Health (NIH) Sex as a  
287 Biological Variable (SABV) (NOT-OD-15-102) regulatory policy, which went into effect on  
288 January 25, 2016 (Clayton and Collins, 2014). Thus, the dataset produced by our study may  
289 prove useful for empirically evaluating the general success of SABV and similar efforts, though  
290 our study was not explicitly designed to assess article compliance with specific aspects of the  
291 SABV or any other funding agency mandate. Future studies intending to assess the impact of  
292 SABV should evaluate the success of specific aspects of SABV requirements. For instance, one  
293 subtle but relevant aspect of SABV is the requirement to prospectively develop a research design  
294 that, at a minimum, reports data disaggregated by sex without requiring a statistical test  
295 evaluating sex as an experimental variable (NIH Guide Notice NOT-OD-15-102). The design of  
296 the current study does not differentiate between studies that report data disaggregated by sex  
297 with no comparison versus studies that report aggregate sex data with no comparison.  
298 Anecdotally, our curators found very few articles that reported data disaggregated by sex but that  
299 did not perform or assert to have performed a statistical comparison by sex. Other aspects of  
300 SABV may also be relevant to the design of future studies assessing the effect of SABV. These  
301 aspects may include the presence of justification for single sex studies, or if both sexes are used  
302 whether the experimental design/analysis is sufficiently powered to detect robust sex differences.  
303 Importantly, SABV is not the only relevant funding agency policy that may impact sex omission  
304 and bias in the neuroscience literature. For example, the Canadian Institutes of Health Research  
305 is a signatory on the Government of Canada's Health Portfolio Sex- and Gender-Based Analysis  
306 Policy and has detailed criteria for how to evaluate sex and gender that differs from that outlined  
307 by SABV. Since the exact policy requirements regarding biological sex vary by funding agency,  
308 future studies will need to be *a priori* designed to either directly assess specific funding agency  
309 policies (and whether these policies even apply to a particular research study), or generally  
310 assess sex omission and bias in the neuroscience literature regardless of research article funding  
311 source.

312 One aspect of the current study is that analysis was restricted to research articles using mice  
313 and/or rats. Articles using mice and rats were analyzed in the current work for the following four  
314 reasons. First, the wide availability of rats and mice concomitant with an abundance of research  
315 protocols and external secondary sex characteristics more easily enables the analysis of both

316 male and female animals. Second, rats and mice have many documented sex differences in brain  
317 and behavior. Third, to align the findings of the current study with previous work which  
318 analyzed mice and/or rats (Mogil and Chanda, 2005; Florez-Vargas et al., 2016; Shansky and  
319 Woolley, 2016) and non-human mammals (Beery and Zucker, 2011). Fourth, because a previous  
320 study indicated that mice and rats were by far the predominant species reported in neuroscience  
321 research articles (Beery and Zucker 2011). Beery and Zucker reported that over 85% of  
322 neuroscience research articles employed mice or rats, a much higher percentage than that  
323 detected by the current study (~48%; Figure 1A). Three possibilities may contribute to this large  
324 difference between studies in the measured proportion of research articles using mice and rats.  
325 The first possibility is differences in journal selection. Compared to the current study, Beery and  
326 Zucker, 2011, analyzed an overlapping but different suite of journals representing the  
327 Neuroscience discipline: Journal of Neuroscience, Neuroscience, The Journal of Comparative  
328 Neurology, and Nature Neuroscience. Given that two of these journals were included in the  
329 analysis of the current study, we believe that journal selection is not likely a major influence. The  
330 second possibility regards article sampling, in that the current study analyzed a much larger  
331 number of research articles than Beery and Zucker. The third possibility may be how the  
332 percentage of rat and mouse studies was calculated. Beery and Zucker, 2011, used only non-  
333 human studies to calculate the percentage of mice and rat studies in the neuroscience literature,  
334 while the current study included both non-human and human studies. We favor this last  
335 possibility as the most likely explanation. We note that the exclusion of animals other than rats  
336 and mice from the current study was not because we consider these species (including humans)  
337 unimportant for neuroscience research. Given the finding of this study that the majority of  
338 neuroscience research articles involves work in species other than mice and rats (Figure 1A),  
339 scientists from both contemporary and earlier generations likely also share this assessment  
340 (Beach, 1950; Krebs, 1975; Brenowitz and Zakon, 2015; Ramage-Healey et al., 2017). Indeed,  
341 our study is the first to detect that sex bias and omission varies across any species of research  
342 animal. Based on this critical finding, future studies should address the intersection of species  
343 and sex by directly testing whether sex bias and omission vary across research animals beyond  
344 mice and rats.

345 Another novel and central finding of this study was the considerable variability in sex omission  
346 across journals. Since our study was not designed to elucidate the etiology of differences in sex

347 omission between journals, it will be an important next step to understand why some journals  
348 exhibit relatively low sex omission and others do not. One possibility is differences in culture  
349 and practice between neuroscience subfields. A second possibility regards journal adoption and  
350 enforcement of relevant editorial policies, which were in flux during the assessed time period.  
351 Consistent with this possibility, beginning in 2012, the Journal of Neurophysiology, and more  
352 broadly all journals published by the American Physiological Society (Miller, 2012), asked  
353 authors to include the sex of research animals, cells, and other biological materials. Journals  
354 published by the American Physiological Society also recommend that authors apply the relevant  
355 portions of the “Animals in Research: Reporting In Vivo Experiments” (ARRIVE) guidelines  
356 (Kilkenny et al., 2010). ARRIVE guidelines cover many aspects of experimental methodology,  
357 including biological sex, in an attempt to enhance reproducibility.

358 The time period of 2013-2014 may prove to be a pivotal point for the reporting of not only  
359 animal sex, but other methodological details as well. Building upon earlier workshops such as  
360 the “Sex-Specific Reporting of Scientific Research” hosted by the Office of Research on  
361 Women’s Health of the National Institutes of Health (Wizemann, 2012), in June of 2014, a  
362 conference including representatives of the United State National Institute of Health, the  
363 American Association for the Advancement of Science, and editors representing more than 30  
364 scientific journals, established the Principles and Guidelines in Reporting Preclinical Research  
365 (McNutt, 2014; Nature, 2014; Moher et al., 2015). Dozens of journals have endorsed these  
366 guidelines, including the Nature publishing group (which publishes Nature and Nature  
367 Neuroscience), Cell Press (which publishes the journal Neuron), Science, and the Journal of  
368 Neuroscience and eNeuro. Interestingly, the Journal of Neuroscience showed substantial  
369 decreases in sex omission even before the convening of the workshop that resulted in the NIH  
370 Principles and Guidelines in Reporting Preclinical Research (Figure 5A). This may reflect  
371 internal editorial policy, enforcement, and methods presentation. Given that the Journal of  
372 Neuroscience has one of the lowest rates of sex omission during the assessed time period, even  
373 when compared to other journals that successfully decreased sex omission, suggests that the  
374 mechanisms by which editorial policies are enforced by an individual journal plays an important  
375 role. Studies of the effectiveness of the ARRIVE and other guidelines seem to support this  
376 speculation (Smidt et al., 2006; Moher et al., 2010; Turner et al., 2012; Baker et al., 2014; Sekula  
377 et al., 2017). Thus, the effectiveness of different enforcement techniques across journals should



378 be directly assessed by future studies, especially comparing journals that mandate the inclusion  
379 of sex in both the title and methods of manuscripts (Blaustein, 2012), journals that include  
380 animal sex is reported on author, reviewer or editor checklists (Han et al., 2017), journals with  
381 statements in the author guidelines, and journals with no relevant policies at all. A significant  
382 challenge of understanding the etiology of differences between journals is the temporal lag  
383 between the implementation of journal policies and its effects on individual research articles.  
384 Given the lengthy time required for manuscript preparation, peer review and manuscript revision,  
385 it may take months or perhaps years for manifestation of changes at the level of editorial or  
386 granting agency policy to be reflected in individual research articles. Nevertheless, future studies  
387 should continue to monitor sex omission, sex bias, and potentially other critical experimental  
388 details across years. This would allow for the evaluation of relevant scientific journal policies,  
389 but also to help remove the potential barriers to scientific reproducibility generated by erratic  
390 reporting of animal sex. This will be particularly important given the emerging recognition that  
391 sex can play a significant and complex role in influencing specific neural substrates.

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523

524 **Figure Legends**

525 Figure 1. Articles using mice and rats are a significant and stable proportion of the neuroscience  
526 literature. A) From 2010-2014, 13,857 neuroscience research articles were published by the  
527 Journal of Neuroscience, Journal of Neurophysiology, Nature Neuroscience, Neuron, Science  
528 and Nature (grey bar). Of these articles, 6,636 used rats or mice, and were further analyzed  
529 (purple bar). The total number of articles using mice and rats was consistently distributed across  
530 years. B) The percentage of articles using rats or mice remained fairly constant across years.

531 Figure 2. Sex omission is decreasing but sex bias remains present, with different patterns  
532 observed in articles using mice versus those using rats. Articles were categorized as either not  
533 reporting sex (orange), reporting both males and females (red), only males (green), and only  
534 females (blue). A) All articles, using both Mice and Rats. Articles not reporting animal sex  
535 decreased 2010-2014. Articles using only male animals increased 2010-2014, comprising the  
536 largest proportion of articles by 2011. Articles reporting the use of both male and female animals  
537 also increased over time, nearing but not overtaking the percentage of articles using only males  
538 by 2013. Articles using only female animals remained stable and low. B) Mice. Articles not  
539 reporting mice sex decreased 2010-2014. Articles reporting the use of both male and female  
540 mice increased over time, and comprised the largest proportion of articles by 2012. Articles  
541 using only male mice increased 2010-2014. Articles using only female mice remained stable and  
542 low. C) Rats. Articles not reporting rat sex decreased 2010-2014. Article using only male rats  
543 increased 2010-2014, and comprised the largest proportion of articles by 2011. Articles reporting  
544 the use of both male and female rats increased 2010-2014, but were a much smaller proportion of  
545 the dataset than articles using only male rats. Articles using only female rats remained stable and  
546 low.

547 Figure 3. The vast majority of articles using both male and female animals do not report  
548 analyzing sex as an experimental variable. Articles using both male and female animals were  
549 evaluated for any formal statistical test or statement that data from males and females were  
550 compared, regardless of outcome and whether or not data were reported. The overall percentage  
551 of articles incorporating sex as an experimental variable remained low and relatively stable from  
552 2011-2014 (~14%), after a noticeable decrease from the year 2010 (25%).

553 Figure 4. Sex omission and bias differ by journal and change from 2010 to 2014. Articles were  
554 analyzed from the following journals: Journal of Neuroscience, Journal of Neurophysiology,  
555 Nature Neuroscience, Neuron, Science and Nature. Four of the six journals showed large  
556 decreases in sex omission. Of this group, Neuron showed the smallest decrease, beginning with  
557 69% of articles not reporting sex in 2010, decreasing to 55% in 2014. In contrast, one journal,  
558 Science, showed an increase in the percentage of articles not reporting sex, rising from 51% in  
559 2010 to 58% in 2014.

560 Figure 5. Patterns of sex omission and bias markedly differ across years by journal. A) Articles  
561 not reporting sex. The percentage of articles not reporting sex decreased in 5 of 6 journals. The  
562 percentage of articles not reporting sex increased in the journal Science. The journals Science  
563 and Neuron showed high percentages of articles not reporting sex. B) Articles reporting both  
564 males and females. Most journals show increased percentages of articles reporting both males  
565 and females, although different patterns occur across time. C) Articles reporting only males. D)  
566 Articles reporting only females. The percentage of articles reporting the sole use of female  
567 animals remained stable and low in all journals. Legend: Journal of Neuroscience (green),  
568 Journal of Neurophysiology (black), Nature Neuroscience (blue), Neuron (red), Science (orange)  
569 and Nature (purple).

570

571 **Tables**

572 Table 1. Details of Statistical Analysis

<b>Figure</b>	<b>Data Structure</b>	<b>Type of Test</b>	<b>Confidence Intervals</b>
1B	Normal Distribution	Linear Regression	-4.389 to 3.178
2A	Normal Distribution	Linear Regression	Male Only: 0.8086 to 3.575; Female Only: -0.5272 to 1.069; Male and Female: 1.277 to 8.273; Unspecified Sex: -12.64 to -1.834
2B	Normal Distribution	Linear Regression	Male Only: 1.105 to 2.797; Female Only: -0.5271 to 1.073; Male and Female: 2.294 to 8.554; Unspecified Sex: -12.16 to -3.138
2C	Normal Distribution	Linear Regression	Male Only: 1.197 to 6.233; Female Only: -0.1067 to 0.8067; Male and Female: -1.040 to 7.242; Unspecified Sex: -13.97 to -0.3654
3	Normal Distribution	Linear Regression	-6.877 to 2.767
5A	Normal Distribution	Linear Regression, ANCOVA	J. Neurophysiology: -10.05 to -1.779; J. Neuroscience: -16.76 to 0.5671; Nature: -18.14 to -8.113; Nature Neuroscience: -21.17 to -1.192; Neuron: -4.831 to -1.845; Science: -8.771 to 12.49
5B	Normal Distribution	Linear Regression, ANCOVA	J. Neurophysiology: 0.03390 to 5.726; J. Neuroscience: -0.8596 to 11.99; Nature: 1.781 to 22.73; Nature Neuroscience: -1.290 to 9.552; Neuron: -0.5016 to 5.360; Science: -8.859 to 9.249
5C	Normal Distribution	Linear Regression, ANCOVA	J. Neurophysiology: -0.4495 to 6.815; J. Neuroscience: 0.3678 to 3.688; Nature: -5.311 to 7.824; Nature Neuroscience: -

			0.6518 to 13.34; Neuron: -1.601 to 3.571; Science: -11.46 to 8.715
5D	Normal Distribution	Linear Regression, ANCOVA	J. Neurophysiology: -0.5273 to 0.2373; J. Neuroscience: -2.637 to 1.863; Nature: -2.637 to 1.862; Nature Neuroscience: -1.188 to 2.426; Neuron: -2.402 to 2.252; Science: -4.550 to 3.180

573 Confidence intervals for linear regressions indicate the 95% confidence interval surrounding the  
574 slope.  $R^2$  and other relevant statistics are reported in the Results section. Acronyms: J: Journal;  
575 ANCOVA: Analysis of Covariance.



Figure 1

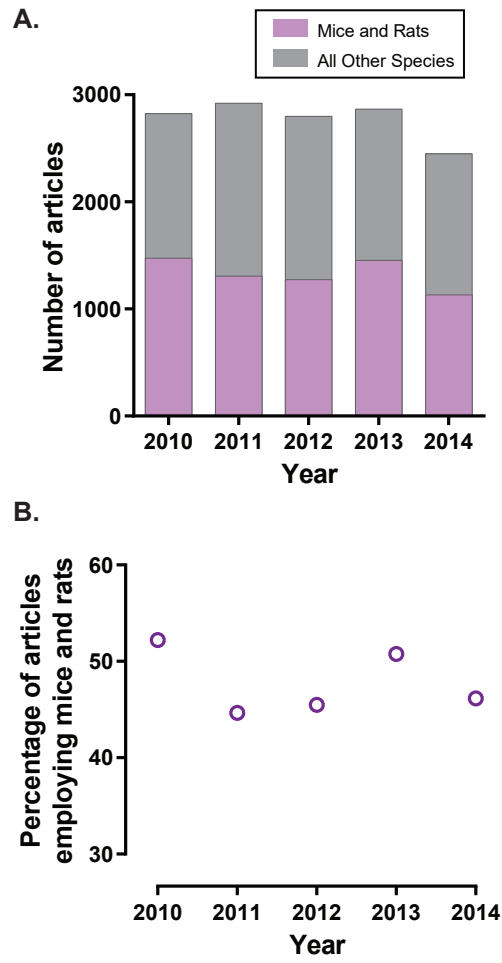


Figure 2

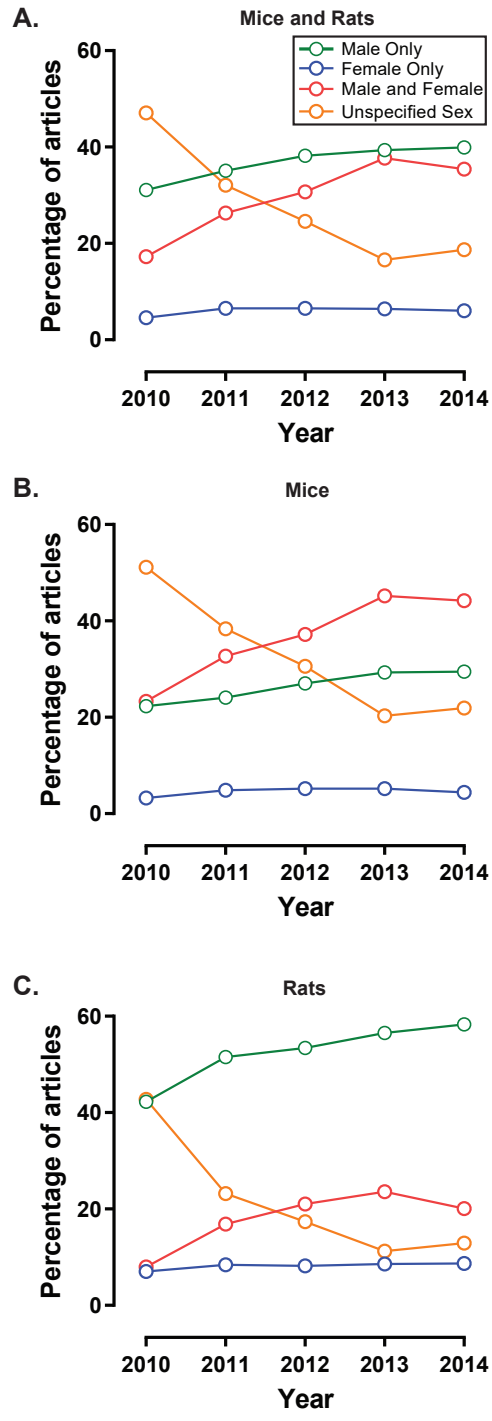


Figure 3

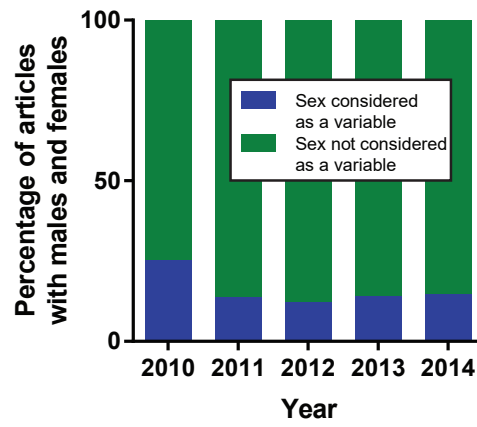


Figure 4

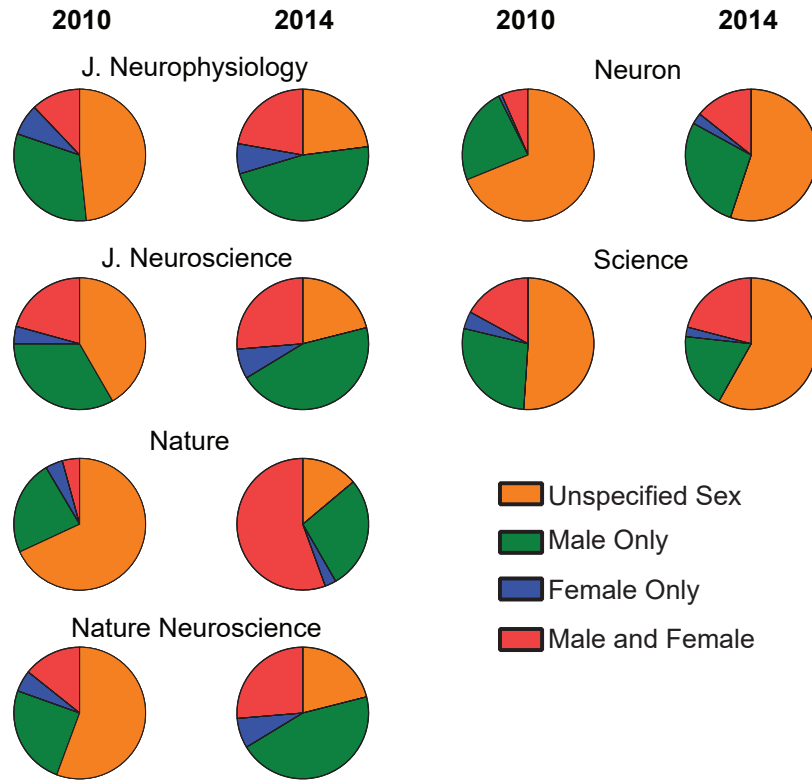


Figure 5

